

**Seminar: Devolution, Privatization, and the New Public Management**  
CRP 4120/6120, AEM 4330/6330, FGSS 4110/6110  
Fall 2009, 4 credit hours

Class meeting location: 211 W. Sibley Hall, Wednesday/Friday 10:10 am – 11:40 am

Professor: Mildred Warner, 215 W. Sibley Hall, 5-6816, mew15@cornell.edu

Office hours: Wednesday 2:30 – 4:30 and Friday 2:00 – 3:00 or by appointment

Teaching Assistant: Chris List, list.chris@gmail.com

Class Website: <http://blackboard.cornell.edu>

Restructuring Local Government Website: <http://government.cce.cornell.edu/>

### **Course Description**

Devolution, decentralization and privatization of government services are international trends. This seminar will review these trends in a national and international context and focus on the local public sector response, primarily in the United States. Concerns for efficiency as well as changing notions of the appropriate role for the public sector drive these shifts. Privatization is perhaps the most controversial form of restructuring. We will review the theoretical rationale for privatization and the legal, political and practical concerns that have arisen with its implementation. Special attention will be given to the implications of these shifts for citizenship, governance and accountability.

Decentralization and devolution reflect efforts to promote government responsiveness to citizens – by bringing decisions closer to the community, and to promote economic competitiveness by encouraging developmental over redistributive investments. We will explore the potential and challenges of decentralization in both developing countries and the United States. While decentralization of service delivery is presented as a means to enhance citizen access and involvement, it also helps justify the shrinking of the social welfare state. Current policies promote network governance involving coalitions of government, private and non profit sector actors. Planners are often key architects of these initiatives and need to be aware of their potential and their limitations. Many cities face deteriorating infrastructure, and as commitment to social welfare erodes, cities are left with increasing responsibilities. New solutions, discussed in this course, will be critical for planners to help cities address the challenge of linking investments in infrastructure, economic and human development with more participatory forms of governance.

### **Assignments**

As a discussion based seminar, class participation is essential. Students will have read materials prior to class and be prepared to discuss them. In addition, students will be

expected to prepare short written summaries of readings during the semester. These must be posted to the class website 24 hours before class or students will be docked a letter grade. Some of these summaries may be selected later for editing and posting to the Restructuring Local Government Website. Discussion between classes will occur on the class website discussion board. Students will be evaluated on the basis of their participation (both questions raised and insights offered).

All students will write three papers for the course.

First Paper: Theory Paper Due October 7 – Select a theoretical issue and discuss its implications based on the readings in the first third of the course (5 pages).

Second Paper: Group Paper Due November 13 (topic due October 9) – Practical policy analysis. Work in groups and develop a short 10-15 page summary (suitable for a professional practitioner audience on the web) of the pros and cons of contracting or new mixed public/private management techniques in public works or infrastructure provision (water, roads, etc). Identify the key issues and discuss them in depth. Bring in practical case material. Conduct original research (secondary and primary sources). Develop a presentation for the class (presentations Nov 11 and 13).

Third Paper (for Undergraduates): Synthesis Paper Due December 7 – Analyze one of the following themes – decentralization/devolution, regionalism, citizenship – from the last third of the course. Give particular emphasis to discussing the implications for governance and citizenship (5-7 pages). Presentations will occur the last two class periods (December 2 and 4).

Third Paper (for Graduates): Original Research Paper Due December 11 (topic due November 20) – Graduate students will complete a major research paper (maximum 15 pages) on a topic of their own choosing. A research proposal with a preliminary bibliography is due by November 20 or sooner. Presentations will occur during last week of class December 2-4. Students can use these presentations to get feedback on their ideas before finalizing the papers. Presentation (including visual aids) will form part of the grade.

**There will be no incompletes.**

## **Grading**

### All Students

Class Participation 30%

Discussion in Class and on Website 15%

Article Summaries 15%

### Undergraduates

Theory Paper 20% Due October 7

Case Study 30% Due November 13 (topic due October 9)

Final Synthesis Paper 20% Due December 7

### Graduate Students

Theory Paper	20%	Due October 7
Case Study	20%	Due November 13 (topic due October 9)
Final Research Paper	30%	Due December 11 (proposal and preliminary bibliography due November 20)

For the group project paper, include a list of each team member and what they contributed. Peer grading will form half the group paper grade.

### Ethics

Collaborative learning is the goal of this class. Group projects and class discussion depend on full participation of all students. Thus, failure to deliver your component of the work on time undermines the learning experience of others and therefore constitutes an ethics violation of the class code (and will result in a grade reduction). If you find that you cannot complete an assignment for which you volunteered, then post a request to switch with someone to the class list and most likely someone will pick up for you. If not, you are still responsible. Grades for class participation and peer grading for the group project reflect this collaborative learning framework.

Individual work also forms part of the grade and students caught plagiarizing will be given an F. Citation of sources is fundamental to the academy – when in doubt, cite. Each student in this course is expected to abide by the Cornell University Code of Academic Integrity.

Note: In compliance with the Cornell University policy and equal access laws, I am available to discuss appropriate academic accommodations that may be required for students with disabilities. Requests for academic accommodations are to be made during the first three weeks of the semester, except for unusual circumstances, so arrangements can be made.

### Readings

Most of the readings for class are articles available on line through the Cornell Library System. We will use several books. These are available at the Campus Store and on reserve in the Fine Arts Library.

Webster, Christopher J. and Lawrence Wai-Chung Lai. 2003. *Property Rights, Planning and Markets: Managing Spontaneous Cities*. Cheltenham, UK; Northampton, MA: Edward Elgar. The full class will discuss and everyone should try to purchase

The following readings will be selected – each by a portion of the class. So only one of these books needs to be purchased.

Frug, Gerald E. and David Barron 2008. *City Bound: How States Stifle Urban Innovation*. Cornell Univ Press. Ithaca NY.

Frug, Gerald E. 1999. *City Making: Building Communities without Building Walls*. Princeton, NJ: Princeton University Press. (Purchase Book)

Pastor, Manuel, Chris Benner and Martha Matsuoka 2009. *This could be the start of something big: How social movements for regional equity are reshaping metropolitan America*, Cornell University Press: Ithaca, NY.

Davis, Michael 2006. *Planet of slums*, New York: Verso.

All readings are on reserve in the Fine Arts Library.

Aug. 28 Introduction: Overview of Course

Sept. 2 Privatization Trends

Ramesh, M. 2008 Introduction: Reasserting the state in public services, *Policy and Society* 27: 99–101.

<http://dx.doi.org/10.1016/j.polsoc.2008.09.006>

Warner, Mildred E. 2008. Reversing privatization, rebalancing government reform: Markets, deliberation and planning, *Policy and Society* 27: 163–174.

<http://dx.doi.org/10.1016/j.polsoc.2008.09.001>

Sept 4. Infrastructure Challenges: Energy, Transit, Water and Waste

Clark, Woodrow W. and Ted Bradshaw. 2004. *Agile energy systems : global lessons from the California energy crisis*. San Diego: Elsevier. CHAPTER 14, Conclusions: implementing Agile Energy Infrastructures pp 443-460

Barter, Paul A. 2008. Public planning with business delivery of excellent urban public transport, *Policy and Society* 27: 103–114

<http://dx.doi.org/10.1016/j.polsoc.2008.09.007>

Warner, Mildred E. 2009. Local Government Infrastructure – and the False Promise of Privatization. A Century Foundation Report. New York: Century Foundation.

<http://www.tcf.org/Publications/mediapolitics/warner.pdf>

### **Theoretical Underpinnings of Privatization and Decentralization**

Sept. 9 Public Choice Theory and Bargaining Solutions

Tiebout, Charles M. 1956. "A Pure Theory of Local Expenditures," *The Journal of Political Economy*, 64(5): 416-424.  
<http://www.jstor.org/stable/1826343>

Warner, Mildred E. and Amir Hefetz. 2002. "Applying Market Solutions to Public Services: An Assessment of Efficiency, Equity, and Voice," *Urban Affairs Review*, 38(1): 70-89. <http://uar.sagepub.com/cgi/content/abstract/38/1/70>

Coase, R.H. 1960. "The Problem of Social Cost," *Journal of Law and Economics*, 3: 1-44. <http://www.jstor.org/stable/724810>

Warner, Mildred E. "Regulatory Takings and Free Trade Agreements: Implications for Planners," forthcoming, *Urban Lawyer*, 41(3). (Posted on Blackboard)

#### Recommended

Williamson, O.E. (1999). Public and Private Bureaucracies: A Transaction Cost Economics Perspective. *Journal of Law, Economics, & Organization*, 15 (1), 306-342.  
<http://proquest.umi.com/pqdweb?index=8&did=373969291&SrchMode=3&sid=1&Fmt=10&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1250872401&clientId=8424&aid=1>

Boyne, George A. 1998. "Bureaucratic Theory Meets Reality: Public Choice and Service Contracting in U.S. Local Government," *Public Administration Review*, 58(6): 474-483.  
<http://proquest.umi.com/pqdweb?index=5&did=36339483&SrchMode=3&sid=2&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187197458&clientId=8424&aid=3>

Lowery, David. 2000. "A Transactions Costs Model of Metropolitan Governance: Allocation versus Redistribution in Urban America," *Journal of Public Administration Research and Theory*, 10(1): 49-78.  
<http://proquest.umi.com/pqdweb?index=3&did=52634951&SrchMode=3&sid=1&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187197098&clientId=8424&aid=1>

Gerbasi, Jennifer and Mildred E. Warner. 2007. "Privatization, Public Goods, and the Ironic Challenge of Free Trade Agreements," *Administration & Society*, 39(2): 127-149. <http://aas.sagepub.com/cgi/content/abstract/39/2/127>

#### Sept. 11 Theoretical Critiques

Lowery, David. 1998. "Consumer Sovereignty and Quasi-Market Failure," *Journal of Public Administration Research and Theory*, 8(2): 137-172.  
<http://proquest.umi.com/pqdweb?index=2&did=29426160&SrchMode=3&sid=4&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187198853&clientId=8424&aid=5>

Feigenbaum, Harvey B. and Jeffrey R. Henig. 1994. "The Political Underpinnings of Privatization: A Typology," *World Politics*, 46(2): 185-208.

<http://www.jstor.org/stable/2950672>

DeLeon, Linda and Robert B. Denhardt. 2000. "The Political Theory of Reinvention," *Public Administration Review*, 60(2): 89-97.

<http://proquest.umi.com/pqdweb?index=11&did=55241197&SrchMode=3&sid=6&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200363&clientId=8424&aid=7>

Schick, Alan. 1998. "Why Most Developing Countries Should Not Try New Zealand's Reforms," *The World Bank Research Observer*, 13(1): 123-131.

<http://proquest.umi.com/pqdweb?index=10&did=28582440&SrchMode=3&sid=7&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200472&clientId=8424&aid=8>

#### Recommended

Moe, Ronald C. 1994. "The 'Reinventing Government' Exercise: Misinterpreting the Problem, Misjudging the Consequences," *Public Administration Review*, 54(2): 111-122.

<http://proquest.umi.com/pqdweb?index=37&did=709222&SrchMode=3&sid=8&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200565&clientId=8424&aid=9>

Blanchard, Lloyd A., Charles C. Hinnant and Wilson Wong. 1998. "Market-Based Reforms in Government: Toward a Social Subcontract?" *Administration & Society*, 30(5): 483-512.

<http://proquest.umi.com/pqdweb?index=5&did=35514668&SrchMode=3&sid=1&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187213135&clientId=8424&aid=1>

Rosenbloom, David H. and Suzanne J. Piotrowski. 2005. "Outsourcing the Constitution and Administrative Law Norms," *The American Review of Public Administration*, 35(2): 103-121. <http://arp.sagepub.com/cgi/content/abstract/35/2/103>

Schamis, Hector E. 2002. *Re-forming the State: The Politics of Privatization in Latin America and Europe*. Ann Arbor, MI: University of Michigan Press.

Sept. 16 and 18      Markets and Property Rights or Planning?

Webster, Christopher J. and Lawrence Wai-Chung Lai. 2003. *Property Rights, Planning and Markets: Managing Spontaneous Cities*. Cheltenham, UK; Northampton, MA: Edward Elgar. (Purchase book)

Sept 23 Practical Applications of Market Based Approaches: CIDs, BIDs, TDRs  
Nelson, Robert H. 2004. "The Private Neighborhood," *Regulation*, Summer 2004, 40-46.  
<http://www.cato.org/pubs/regulation/regv27n2/v27n2-5.pdf>

Fischel, William A. 2004. "Revolution or Evolution?" *Regulation*, Summer 2004, 48-53.  
<http://www.cato.org/pubs/regulation/regv27n2/v27n2-6.pdf>

Staley, Samuel and Lynn Scarlett. 1997. "Market Oriented Planning: Principles and Tools," Working Paper. Los Angeles, CA: The Reason Foundation.  
<http://www.reason.org/ps236.html>

Mitchell, J. (1999), *Business Improvement Districts and Innovative Service Delivery*, Grant Report for the PriceWaterhouse Coopers Endowment for Government  
<http://www.businessofgovernment.org/pdfs/Mitchell.pdf>. Focus on pp 6-13.

Transfer of Development Rights Programs: Using the Market for Compensation and Preservation  
<http://government.cce.cornell.edu/doc/html/Transfer%20of%20Development%20Rights%20Programs.htm>

#### Recommended

Ben-Joseph, Eran. 2003. "Subdivision Regulations: Practices & Attitudes, A Survey of Public Officials and Developers in the Nation's Fastest Growing Single Family Housing Markets," Working Paper. Cambridge, MA: Lincoln Institute of Land Policy. <http://www.lincolninst.edu/pubs/PubDetail.aspx?pubid=846>

Business Improvement Districts: Issues in Alternative Local Public Service Provision  
<http://government.cce.cornell.edu/doc/reports/econdev/bids.asp>

#### Sept 25 International Approaches and Critiques

Graham, Carol. 1998. *Private Markets for Public Goods: Raising the Stakes in Economic Reform*. Washington, DC: The Brooking Institution Press. Chapter 1 (Book on Reserve).

Miraftab, Faranak. 2004. "Neoliberalism and Casualization of Public Sector Services: The Case of Waste Collection Services in Cape Town, South Africa," *International Journal of Urban and Regional Research*, 28(4): 874-892.  
<http://www3.interscience.wiley.com/journal/118779134/abstract?CRETRY=1&SRETRY=0>

Ramamurti, Ravi. 1999. "Why Haven't Developing Countries Privatized Deeper and Faster?" *World Development*, 27(1): 137-155.  
[http://dx.doi.org/10.1016/S0305-750X\(98\)00131-4](http://dx.doi.org/10.1016/S0305-750X(98)00131-4)

Sept 30      The Promise and Challenge of Network Governance

Salamon, Lester. 2002. "The New Governance and the Tools of Public Action: An Introduction" pp 1-47 in *The Tools of Government: A Guide to the New Governance*. Oxford; New York: Oxford University Press. (Book on Reserve)

Benjamin, Solomon. 2005. Analogue to Digital: Re-Living Big Business's Nightmare in New Hydras. In *World-Information Special IP CITY EDITION*. Tunis. Open Access: <http://world-information.org/wio/wsis/2005/texts/1154317929>

Khanolkar, Prasad 2009. Towards a New Urban Governance: The Contested Domain of Waste Management, Mumbai, Unpublished Masters Thesis City and Regional Planning, Cornell University. Abstract and Literature Review. Posted to Blackboard

Recommended

Miraftab, Faranak. 2004. "Public-Private Partnerships: The Trojan Horse of Neoliberal Development?" *Journal of Planning Education and Research*, 24(1): 89-101. <http://jpe.sagepub.com/cgi/content/abstract/24/1/89>

Rhodes, R.A. W. 1996. "New Governance: Governing Without Government," *Political Studies* XLIV:652-667.

Oct. 2      Guest Lecture – John Foote, Senior Fellow, Harvard Kennedy School

Mossavar-Rahmani Center for Business and Government

Roads, Transit, Parking - Public Goods or Financial Assets" including a case study on the privatization of the Pittsburgh (PA) Parking Authority

See blackboard for the following documents:

Lecture Notes

Penn Turnpike Case Study

Pittsburgh Parking Authority Case

Recommended

Foote, John H. 2006 Analysis of the Public Policy Aspects of the Chicago Skyway Concession, Harvard Univ. Kennedy School Working Paper – posted to Blackboard

Williams, Trefor P. 2003. "Moving to Public-Private Partnerships: Learning from Experience around the World," Washington, DC: The Center for the Business of Government. <http://www.businessofgovernment.org/pdfs/WilliamsReport.pdf>

Oct. 7      Group Working Session

This year's focus is on infrastructure – water, roads, and new mixed techniques for management or private interest neighborhoods as an alternative to zoning and public infrastructure.

**Work with teams on project ideas. Review examples of previous class projects posted to website:**

Transfer of Development Rights Programs: Using the Market for Compensation and Preservation

<http://government.cce.cornell.edu/doc/html/Transfer%20of%20Development%20Rights%20Programs.htm>

Business Improvement Districts: Issues in Alternative Local Public Service Provision

<http://government.cce.cornell.edu/doc/reports/econdev/bids.asp>

Mitigating Corruption in New Public Management

<http://government.cce.cornell.edu/doc/html/MitigatingCorruption.htm>

Prisons, Privatization, and Public Values

<http://government.cce.cornell.edu/doc/html/PrisonsPrivatization.htm>

**Oct. 7 Theory Paper Due**

**Oct. 9 Group Topic and Plan Due**

October 9 Decentralization and Devolution (International) Guest Lecture- Ben Kohl

Kohl, Benjamin. 2004. "Privatization Bolivian Style: A Cautionary Tale," *International Journal of Urban and Regional Research*, 28(4): 893-908.

<http://www.blackwell-synergy.com/doi/abs/10.1111/j.0309-1317.2004.00558.x>

Recommended

Kohl, Benjamin and Linda Farthing, 2009. Less Than "Fully Satisfactory Development Outcomes": International Financial Institutions and Social Unrest in Bolivia  
LATIN AMERICAN PERSPECTIVES, Issue 166, Vol. 36 No. 3, May 2009 59-78

Oct. 14-16 Decentralization, Devolution and Deconcentration

*Developing Countries*

Litvak, Jennie, Junaid Ahmad and Richard M. Bird. 1998. "Rethinking Decentralization in Developing Countries," Washington, DC: The World Bank.

[http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2001/01/06/000094946\\_00121605341030/Rendered/PDF/multi\\_page.pdf](http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2001/01/06/000094946_00121605341030/Rendered/PDF/multi_page.pdf)

Tendler, Judith. 1997. *Good Government in the Tropics*. Baltimore, MD: Johns Hopkins University Press. Chapter 1 "Introduction" pp 1-20. (Book on Reserve)

Prud homme, Remy. 1995. "The Dangers of Decentralization," *The World Bank Research Observer*, 10(2): 201-220.  
<http://proquest.umi.com/pqdweb?index=5&sid=1&srchmode=3&vinst=PROD&fmt=2&startpage=-1&clientid=8424&vname=PQD&RQT=309&did=6866262&scaling=FULL&ts=1187207071&vtype=PQD&aid=3&rqt=309&TS=1187207134&clientId=8424>

#### *Developed Countries*

Brenner, Neil. 2004. "Urban Governance and the Production of New State Spaces in Western Europe, 1960-2000," *Review of International Political Economy*, 11(3): 447-488.

<http://www.ingentaconnect.com/content/routledg/rrip/2004/00000011/00000003/art00001;jsessionid=4if2odd5i7t6.victoria>

Katz, Michael B. 2001. *The Price of Citizenship: Redefining America's Welfare State*. New York, NY: Metropolitan Books. Read Introduction and Chapters 1, 2, pp 1-56. (Book on Reserve)

Rodríguez -Pose, A. and Bwire, A. 2004. "The economic (in)efficiency of devolution." *Environment and Planning A*, 36, 11, 1907-1928.

<http://www.envplan.com/abstract.cgi?id=a36228>

#### Recommended

Shah, Anwar and Theresa Thompson. 2004. "Implementing Decentralized Local Governance: A Treacherous Road with Potholes, Detours, and Road Closures," World Bank Policy Research Working Paper 3353, Washington, DC: The World Bank.

[http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2004/07/29/000090341\\_20040729134144/Rendered/PDF/wps3353.pdf](http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2004/07/29/000090341_20040729134144/Rendered/PDF/wps3353.pdf)

Warner, Mildred E. and James E. Pratt. 2005. "Spatial Diversity in Local Government Revenue Effort Under Decentralization: A Neural-Network Approach," *Environment and Planning C: Government and Policy*, 23(5): 657-677.

<http://www.envplan.com/abstract.cgi?id=c16r>

Oct. 21            Regionalism - Guest Lecturer: Susan Christopherson

Wheeler, Stephen M., 2002, "The New Regionalism - Key Characteristics of an Emerging Movement" *Journal of the American Planning Association*, 68 (3): 267-278

<http://proquest.umi.com/pqdlink?Ver=1&Exp=08-20-2014&FMT=7&DID=128776231&RQT=309>

Imbroscio, David L. 2006. Shaming the Inside Game: A Critique of the Liberal Expansionist Approach to Addressing Urban Problems, *Urban Affairs Review* 42: 224-248.

<http://uar.sagepub.com/cgi/content/abstract/42/2/224>

Clark, Jennifer and Susan Christopherson, 2009. Integrating Investment and Equity: A Critical Regionalist Agenda for a Progressive Regionalism, *Journal of Planning Education and Research* 28:341-354

<http://jpe.sagepub.com/cgi/content/abstract/28/3/341>

#### Recommended

Bollens, Scott. 2003. In through the back door: Social Equity and Regional Governance. *Housing Policy Debate* 13 (4): 631–57.

[http://www.mi.vt.edu/data/files/hpd%2013%284%29/hpd%2013%284%29\\_bollens.pdf](http://www.mi.vt.edu/data/files/hpd%2013%284%29/hpd%2013%284%29_bollens.pdf)

Swanstrom, Todd. 2006. Regionalism, Equality, and Democracy, *Urban Affairs Review* 42: 249-257.

<http://uar.sagepub.com/cgi/reprint/42/2/249.pdf>

Orfield, Myron. 2002. *American Metropolitcs: The New Suburban Reality*. Washington, DC: Brookings Institution Press.

Rusk, David. 1999. *Inside Game/Outside Game: Winning Strategies for Saving Urban America*. Washington, DC: Brookings Institution Press.

Oct. 23            Guest Lectures: Health Care Reform & Metropolitan Service Segregation

#### *Health Care Reform*

*US Experience: Taryn Morrissey, SRCD/AAAS Congressional Fellow*

*Italian Experience: Gabriella D'Amore Visiting Scholar, Italy*

#### Recommended

Health Reform Proposals before Congress. Kaiser Family Foundation

[http://www.kff.org/healthreform/upload/healthreform\\_tri\\_full.pdf](http://www.kff.org/healthreform/upload/healthreform_tri_full.pdf)

Gawande, Atul, 2009. The Cost Conundrum: What a Texas town can teach us about health care, *The New Yorker*, June 1 2009.

[http://www.newyorker.com/reporting/2009/06/01/090601fa\\_fact\\_gawande?currentPage=all](http://www.newyorker.com/reporting/2009/06/01/090601fa_fact_gawande?currentPage=all)

Ramesh, M. 2008. Reasserting the role of the state in the healthcare sector: Lessons from Asia, *Policy and Society*, 27:129–136

<http://dx.doi.org/10.1016/j.polsoc.2008.09.004>

Oct 23. 12:30 pm Colloquium Speaker : Ann Joyner Moss

*Metropolitan Service Segregation: Ann Moss Joyner, Colloquium Speaker*

Oct 28, 30 and Nov 4            Regionalism: Constraints and Prospects

Class divides into groups and each reads one book and leads class discussion. Everyone try to read indicated chapters

Frug, Gerald E. and David Barron 2008. *City Bound: How States Stifle Urban Innovation*. Cornell Univ Press. Ithaca NY. Esp Introduction and Chapters 1 and 2

Frug, Gerald E. 1999. *City Making: Building Communities without Building Walls*. Princeton, NJ: Princeton University Press. See article summaries on website

Pastor, Manuel, Chris Benner and Martha Matsuoka 2009. *This could be the start of something big: How social movements for regional equity are reshaping metropolitan America*, Cornell University Press: Ithaca, NY. Esp. Chapter 2.

Davis, Michael 2006. *Planet of slums*, New York: Verso. Esp Chapters 3, 4, 8  
Or article version

Davis, Michael 2004. *Planet of slums*, *New Left Review* 26, March-April 2004 pp 5-34  
<http://www.newleftreview.org/?page=article&view=2496>

Nov. 6                    Group Work Session

Nov. 11 and 13            Presentation of Group Projects

**Nov 13                    Group Project Papers Due**

Nov 13 12:30 pm Colloquium Speaker: Nik Theodore

Nov. 18                    Privatization and Citizen Participation

Abers, Rebecca. 1998. "From Clientelism to Cooperation: Local Government, Participatory Policy, and Civic Organizing in Porto Alegre, Brazil," *Politics and Society*, 26(4): 511-537. <http://pas.sagepub.com/content/vol26/issue4/>

Miraftab, Faranak and Shana Wills. 2005. "Insurgency and Spaces of Active Citizenship: The Story of Western Cape Anti-Eviction Campaign in South Africa," *Journal of Planning Education and Research*, 25(2): 200-217.  
<http://jpe.sagepub.com/cgi/content/abstract/25/2/200>

Mohan, Giles. 2002. The disappointments of civil society: the politics of NGO intervention in northern Ghana. *Political Geography* 21 (1): 125-154.  
[http://dx.doi.org/10.1016/S0962-6298\(01\)00072-5](http://dx.doi.org/10.1016/S0962-6298(01)00072-5)

### Recommended

Moore, Henry and Deborah Puntenney. 1999. "Leading by Stepping Back: A Guide for City Officials on Building Neighborhood Capacity," Evanston, IL: Asset-Based Community Development Institute. (Not electronic)  
<http://www.northwestern.edu/ipr/publications/community/stepback.html>

Lukensmeyer, Carolyn J. and Lars Hasselblad Torres. 2006. "Public Deliberation: A Manager's Guide to Citizen Engagement," Washington, DC: The Center for the Business of Government.  
<http://www.businessofgovernment.org/pdfs/LukensmeyerReport.pdf>

Bresette, Patrick and Marcia Kinsey. 2006. "Public Structures: A Constructive Model for Government," Public Briefing No. 6. New York, NY: Dēmos: A Network for Ideas and Action.  
<http://www.demos.org/publication.cfm?currentpublicationID=0E23549B-3FF4-6C82-521EE468A7FE57E0>

### **Nov 20            Outlines for Final Paper Due (Graduate Students)**

Nov. 20 and 23 (note shift to Monday)            Citizenship and the State  
(Nov. 25 No Class – Thanksgiving)

Katz, Michael B. 2001. "Work, Democracy and Citizenship" pp 341-360 in *The Price of Citizenship: Redefining America's Welfare State*. New York, NY: Metropolitan Books. (Book on Reserve)

Marshall, T.H. 1950. "Citizenship and Social Class" in *The Citizenship Debates: A Reader*, ed by Gershon Shafir, 1998, Minneapolis, MN: University of Minnesota Press. (Book on Reserve)

Purcell, Mark (2003). Citizenship and the Right to the Global City: Reimagining the Capitalist World Order, *International Journal of Urban and Regional Research*, 27(3): 564-90.  
<http://faculty.washington.edu/mpurcell/ijurr.pdf>

Holston, James and Arjun Appadurai. 1999. "Introduction" in *Cities and Citizenship*, ed by James Holston, Durham, NC: Duke University Press. (Book on Reserve)

### Recommended

Turner, Bryan S. 1990. "Outline of a Theory of Citizenship," *Sociology*, 24(2): 189-217.

Cerny, Philip. 1999. Globalization and the Erosion of Democracy, *European Journal of Political Research* 36: 1-26.  
<http://www.springerlink.com/content/b5a3tp910w9n8d6j/>

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Dec. 2 and 4                      Class Presentations – Final Paper Outlines

Short presentations of your paper outline for group review and critique

**Dec. 7                              Final Papers Due at Noon (Undergraduates)**

**Dec. 11                             Final Papers Due at Noon (Graduates)**